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08/19/97

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INTERNET ADDRESS:

September 25, 1997

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re: New U.S. Non-Provisional Utility Patent Application  
Appl. No. (to be assigned); Filed: (herewith)  
Rule 60 Continuation of U.S. Appl. No. 08/665,845; Filed: June 19, 1996  
For: **RISC Microprocessor Architecture Implementing Multiple  
Typed Register Sets**  
Inventors: Garg *et al.*  
Our Ref: SP018.C3

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.60 is a continuation application of Appln. No. 08/665,845, filed June 19, 1996, now allowed, which is a continuation of application no. 08/465,239, filed June 5, 1995, now U.S. Patent No. 5,560,035, which is a continuation of application no. 07/726,773, filed July 8, 1991, now U.S. Patent No. 5,493,687, consisting of the following documents:

1. A Request for Filing a Patent Application under 37 C.F.R. § 1.60;
2. A true copy of the latest inventor-signed U.S. Patent Application No. 08/665,845, filed June 19, 1996, now allowed, entitled:

**RISC Microprocessor Architecture Implementing Multiple Typed Register Sets**

and naming as inventors: Sanjiv Garg, Derek J. Lentz, Le Trong Nguyen and  
Sho Long Chen

08/19/97 11:00 AM

Assistant Commissioner for Patents  
September 25, 1997  
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the application comprising:

- a. A specification containing:
    - (i) 40 pages of description prior to the claims;
    - (ii) 19 pages of claims (30 claims);
    - (iii) a one (1) page abstract;
  - b. Six (6) sheets of informal drawings (Figures 1-7);
  - c. An original executed combined Declaration and Power of Attorney for Patent Application;
3. Nine (9) sheets of formal drawings (Figures 1, 2, 2A, 3, 3A and 4-7);
  4. A Preliminary Amendment;
  5. An Information Disclosure Statement (IDS);
  6. Form PTO-1449;
  7. Three (3) return post cards; and
  8. Our check no. 20113 for \$1070.00 to cover:  
\$770.00 application filing fee, and  
\$300.00 excess claims fee.

It is respectfully requested that, of the three attached post cards, one be stamped with the filing date of these documents and returned to our courier, and the other two prepaid post cards be stamped with the filing date and unofficial application number and returned to the addressee as soon as possible.

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Assistant Commissioner for Patents  
September 25, 1997  
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert Sokohl  
Attorney for Applicants  
Registration No. 36,013

RES/mmb

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**REQUEST FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. § 1.60**

Docket Number	Anticipated Classification of this Application		Prior Application	
SP018.C3	Class:	Subclass:	Examiner: P. Lall	Art Unit: 2315

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

This is a request for filing a ☒ continuation ☐ divisional application under 37 C.F.R. § 1.60 of pending prior application no. 08/665,845, filed on June 19, 1996, entitled RISC Microprocessor Architecture Implementing Multiple Typed Register Sets, now allowed, which is a continuation of application no. 08/465,239, filed June 5, 1995, now U.S. Patent No. 5,560,035, which is a continuation of application no. 07/726,773, filed July 8, 1991, now U.S. Patent No. 5,493,687.

1. ☒ Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application number 08/665,845, and further that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

Claims	(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Calculations
	Total Claims*	30 - 20 =	10	x \$ 22 =	\$ 220.00
	Independent Claims*	4 - 3 =	1	x \$ 80 =	\$ 80.00
	Multiple Dependent Claim(s)* (if applicable)			+ \$ 260	\$ 00.00
				Basic Fee	\$ 770.00
				Total of above calculations =	\$1070.00
	Reduction by 1/2 for filing as small entity (Note 37 C.F.R. §§ 1.9, 1.27 and 1.28).				
				GRAND TOTAL =	\$1070.00

\*The filing fee is calculated on the basis of the claims existing in the prior application as amended at 5. and 11.

2. A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27:  
☐ is enclosed.  
☐ was filed in prior application number \_\_\_\_\_ and such status is still proper and desired (37 C.F.R. § 1.28(a)).
3. ☒ The U.S. Patent and Trademark Office is hereby authorized to charge any fees that may be required under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment, to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.
4. ☒ A check in the amount of \$1070.00 is enclosed to cover the application filing fee and fee for excess claims.

(REQUEST FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. § 1.60, PAGE 2)

5. ☒ Cancel in this application original claims 2-30 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
6. ☒ The inventors of the invention being claimed in this application are: Sanjiv Garg, Derek J. Lentz, Le Trong Nguyen and Sho Long Chen.
7. ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. § 1.60(b), the Assistant Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:

Amend the specification by inserting before the first line the sentence:

This application is a ☒ continuation ☐ division of application no. 08/665,845, filed on June 19, 1996, (allowed), which is a continuation of application no. 08/465,239, filed June 5, 1995, (patented), which is a continuation of application no. 07/726,773, filed July 8, 1991, (patented).

New formal drawings are enclosed.

10. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. § 119(a)-(d).  
☐ The certified copy has been filed in prior application number \_\_\_\_\_, filed \_\_\_\_\_.
11. ☒ A preliminary amendment is enclosed.
12. ☒ The prior application is assigned of record to Seiko Epson Corporation.
13. ☒ Also enclosed: Information Disclosure Statement and Form PTO-1449.
14. ☐ The power of attorney in the prior application is to W. Douglas Carothers, Jr., Reg. No. 22,024; Eric B. Janofsky, Reg. No. 30,759; Harold T. Tsiang, Reg. No. 35,721; Robert Greene Sterne, Reg. No. 28,912; Edward J. Kessler, Reg. No. 25,688; Jorge A. Goldstein, Reg. No. 29,021; and Samuel L. Fox, Reg. No. 30,353.
- a. ☒ The power of attorney appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

Address all future correspondence to: (May only be completed by applicant, attorney or agent of record.)

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
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9/25/97  
Date

Robert Sokohl  
Robert Sokohl - Reg. No. 36,013

- ☐ inventor
- ☐ assignee of complete interest. Certification under 37 CFR § 3.73(b) is enclosed.
- ☐ attorney or agent of record
- ☒ filed under 37 C.F.R. § 1.34(a)